

U.S. DEPARTMENT OF STATE
U.S. EMBASSY TO MAURITIUS AND SEYCHELLES
Notice of Funding Opportunity

Funding Opportunity Title: Awareness Campaign on the Budget Formulation Process and Importance of Fiscal Transparency among Youth in Mauritius and Seychelles

Deadline for Applications: July 20, 2020

CFDA Number: 19.662

Total Amount Available: \$29,630

A. PROGRAM DESCRIPTION

The U.S. Department of State Embassy to Mauritius and Seychelles announces an open competition for organizations to submit applications to carry out a program to promote the importance of fiscal transparency and participation in the budget formulation process among youth in Mauritius and Seychelles. Please carefully follow all instructions below.

Program Objectives:

Fiscal transparency enables governments, markets, oversight bodies, and citizens alike to have a clear understanding of public finances, empowers them to question the appropriateness of public spending, and encourages better economic governance by holding governments accountable. The United States Embassy to Mauritius and Seychelles announces a Notice of Funding Opportunity (NOFO) for a project that aims to educate Mauritian and Seychellois citizens between the ages of 18 and 30 on fiscal transparency and to empower them to engage in public debates on fiscal management and accountability.

A local non-governmental organization would be responsible for implementing the grant by organizing a two-day symposium, where representatives of local stakeholders involved in fiscal management and oversight of budget execution such as the Finance Ministry and the Supreme Audit Institution are invited to participate to create awareness about fiscal transparency and accountability. The Embassy will provide an international expert to lead the project. Two grants are available to cover costs of organizing the symposium in Mauritius and Seychelles.

The project will include the following components:

- A familiarization session between the expert, the local chapter of the selected NGO for the project, and a youth organization to set the context for the workshop;
- A workshop to educate people aged 18 to 30 about fiscal transparency and accountability, including training on how best to participate in the budget-making process; and

- A high-level panel discussion featuring government officials, experts, private sector, media, and civil society, on the importance of public participation in budget formulation and comprehension of the relevant reports.

The project has the following goals:

- 1) Educate Mauritian and Seychellois youth about the importance of participating in the budgetary process;
- 2) Raise awareness about the roles of different institutions in furthering fiscal transparency and government accountability; and
- 3) Demystify various fiscal documents and processes such as the budget, fiscal end of year report, audit reports, and clarify their importance in national planning and fiscal transparency.

Participants and Audiences:

The target audience for this project is people between the ages of 18 and 30 in Mauritius and Seychelles.

B. FEDERAL AWARD INFORMATION

Length of performance period: **12 months or less**

Number of awards anticipated: **2 awards** (dependent on amounts)

Award amounts: awards may range from a minimum of **\$10,000** to a maximum of **\$15,000**.

Total available funding: **\$29,630**

Type of Funding: **FY19 Economic Support Funds under the Foreign Assistance Act**

Anticipated program start date: **September 2020**

This notice is subject to availability of funding.

Contingent on the availability of funds, a total of \$29,630 for two grants will be awarded through this announcement: one for Mauritius and one for Seychelles. If selected to receive an award, an applicant will be awarded funds for 12 months, depending on the activities proposed.

Funding Instrument Type: Grant

Program Performance Period: Proposed programs should be completed in **12 months or less**.

C. ELIGIBILITY INFORMATION

1. Eligible Applicants

The following organizations are eligible to apply:

- Not-for-profit organizations, including think tanks and civil society/non-governmental organizations

Applicants must have experience in the areas of economic accountability, transparency, and integrity. Specific experience in implementing fiscal transparency technical assistance projects is highly desirable. Additionally, applicants must:

- Demonstrate experience implementing projects similar to those addressed in this NOFO; and
- Demonstrate expertise in providing technical assistance in the areas of accountability, transparency, and integrity, particularly in the area of Fiscal Transparency in Mauritius/Seychelles.

The U.S. Embassy is committed to an anti-discrimination policy in all of its programs and activities. The Embassy welcomes applications irrespective of an applicants' race, ethnicity, color, creed, national origin, gender, sexual orientation, or disability. We encourage applications from organizations working with underserved communities, including women, people with disabilities, and youth.

2. Cost Sharing or Matching

There is no minimum or maximum percentage required for this competition. However, the Embassy encourages applicants to provide maximum levels of cost sharing and funding in support of its programs.

Cost-sharing or matching is not an evaluation criteria of this NOFO.

When cost sharing is offered, it is understood and agreed that the applicant must provide the amount of cost sharing as stipulated in its proposal and later included in an approved agreement. Cost sharing may be in the form of allowable direct or indirect costs. For accountability, recipients must maintain written records to support all costs that are claimed as their contribution, as well as costs to be paid by the Federal government. Such records are subject to audit. The basis for determining the value of cash and in-kind contributions must be in accordance with OMB 2 CFR 200.306 - Cost Sharing and Matching.

3. Other Eligibility Requirements

In order to be eligible to receive an award, all organizations must have a unique entity identifier (Data Universal Numbering System/DUNS number from Dun & Bradstreet), as well as a valid registration on www.SAM.gov. Please see Section D.3 for information on how to obtain these registrations. Individuals are not required to have a unique entity identifier or be registered in SAM.gov.

Applicants are permitted to submit one proposal per organization. If more than one proposal is submitted from an organization, all proposals from that institution will be considered ineligible for funding.

D. APPLICATION AND SUBMISSION INFORMATION

1. Address to Request Application Package

Application forms required below are available at the State Award Management System (SAMS) and Grants.gov.

2. Content and Form of Application Submission

Please follow all instructions below carefully. Proposals that do not meet the requirements of this announcement or fail to comply with the stated requirements will be ineligible.

Content of Application

Please ensure:

- The proposal clearly addresses the goals and objectives of this funding opportunity
- All documents are in English
- All budgets are in U.S. dollars
- All pages are numbered
- All documents are formatted to 8 ½ x 11 paper, and
- All Microsoft Word documents are single-spaced, 12 point Times New Roman font, with a minimum of 1-inch margins.

The following documents are **required**:

1. Mandatory application forms available on the following link:

<https://www.grants.gov/web/grants/forms/sf-424-family.html>

- **SF-424** (*Application for Federal Assistance – organizations*)
- **SF424A** (*Budget Information for Non-Construction programs*)
- **SF424B** (*Assurances for Non-Construction programs*)

2. Summary Page: Cover sheet stating the applicant name and organization, proposal date, program title, program period proposed start and end date, and brief purpose of the program.

3. Proposal (10 pages maximum): The proposal should contain sufficient information that anyone not familiar with it would understand exactly what the applicant wants to do. You may use your own proposal format, but it must include all the items below.

- **Proposal Summary:** Short narrative that outlines the proposed program, including program objectives and anticipated impact.
- **Introduction to the Organization or Individual applying:** A description of past and present operations, showing ability to carry out the program, including information on all previous grants from the U.S. Embassy and/or U.S. government agencies.

- **Problem Statement:** Clear, concise and well-supported statement of the problem to be addressed and why the proposed program is needed
- **Program Goals and Objectives:** The “goals” describe what the program is intended to achieve. The “objectives” refer to the intermediate accomplishments on the way to the goals. These should be achievable and measurable.
- **Program Activities:** Describe the program activities and how they will help achieve the objectives.
- **Program Methods and Design:** A description of how the program is expected to work to solve the stated problem and achieve the goal. Include a logic model as appropriate.
- **Proposed Program Schedule and Timeline:** The proposed timeline for the program activities. Include the dates, times, and locations of planned activities and events.
- **Key Personnel:** Names, titles, roles and experience/qualifications of key personnel involved in the program. What proportion of their time will be used in support of this program?
- **Program Partners:** List the names and type of involvement of key partner organizations and sub-awardees.
- **Program Monitoring and Evaluation Plan:** This is an important part of successful grants. Throughout the time-frame of the grant, how will the activities be monitored to ensure they are happening in a timely manner, and how will the program be evaluated to make sure it is meeting the goals of the grant?
- **Future Funding or Sustainability** Applicant’s plan for continuing the program beyond the grant period, or the availability of other resources, if applicable.

4. Budget Justification Narrative: After filling out the SF-424A Budget (above), use a separate sheet of paper to describe each of the budget expenses in detail. See section *H. Other Information: Guidelines for Budget Submissions* below for further information.

5. Attachments:

- A one-page CV or resume of key personnel who are proposed for the program;
- Letters of support from program partners describing the roles and responsibilities of each partner;
- If your organization has a NICRA and includes NICRA charges in the budget, your latest NICRA should be included as a PDF file; and
- Official permission letters, if required for program activities.

1. Unique Entity Identifier and System for Award Management (SAM.gov)

Required Registrations:

Any applicant listed on the Excluded Parties List System (EPLS) in the [System for Award Management \(SAM\)](#) is not eligible to apply for an assistance award in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR, 1986 Comp., p. 189) and 12689 (3 CFR, 1989 Comp., p. 235), “Debarment and Suspension.” Additionally, no entity

listed on the EPLS can participate in any activities under an award. All applicants are strongly encouraged to review the EPLS in SAM to ensure that no ineligible entity is included.

All organizations applying for grants (except individuals) must obtain these registrations. All are free of charge:

- Unique entity identifier from Dun & Bradstreet (DUNS number)
- NCAGE/CAGE code
- www.SAM.gov registration

Step 1: Apply for a DUNS number and an NCAGE number (these can be completed simultaneously)

DUNS application: Organizations must have a Data Universal Numbering System (DUNS) number from Dun & Bradstreet. If your organization does not have one already, you may obtain one by calling 1-866-705-5711 or visiting <http://fedgov.dnb.com/webform>

NCAGE application: Application page here:

<https://eportal.nspa.nato.int/AC135Public/scage/CageList.aspx>

Instructions for the NCAGE application process:

<https://eportal.nspa.nato.int/AC135Public/Docs/US%20Instructions%20for%20NSPA%20NCAGE.pdf>

For NCAGE help from within the U.S., call 1-888-227-2423

For NCAGE help from outside the U.S., call 1-269-961-7766

Email NCAGE@dliis.dla.mil for any problems in getting an NCAGE code.

Step 2: After receiving the NCAGE Code, proceed to register in SAM.gov by logging onto: <https://www.sam.gov>. SAM registration must be renewed annually.

2. Submission Dates and Times

Applications are due no later than **July 20, 2020**.

3. Funding Restrictions

Funding can only be used to cover the costs of organizing a two-day symposium that fulfills the following goals:

- 1) Educate Mauritian and Seychellois youth about the importance of participating in the budgetary process;
- 2) Raise awareness about the roles of different institutions in furthering fiscal transparency and government accountability; and

- 3) Demystify various fiscal documents and processes such as the budget, fiscal end of year report, audit reports, and clarify their importance in national planning and fiscal transparency.

4. Other Submission Requirements

All application materials must be submitted by email to BheenickS@state.gov and BergesenCR@state.gov .

E. APPLICATION REVIEW INFORMATION

1. Criteria

Each application will be evaluated and rated on the basis of the evaluation criteria outlined below.

Quality and Feasibility of the Program Idea – 25 points: The program idea is well developed, with detail about how program activities will be carried out. The proposal includes a reasonable implementation timeline.

Organizational Capacity and Record on Previous Grants – 25 points: The organization has expertise in its stated field and has the internal controls in place to manage federal funds. This includes a financial management system and a bank account.

Program Planning/Ability to Achieve Objectives – 15 points: Goals and objectives are clearly stated and program approach is likely to provide maximum impact in achieving the proposed results.

Budget – 10 points: The budget justification is detailed. Costs are reasonable in relation to the proposed activities and anticipated results. The budget is realistic, accounting for all necessary expenses to achieve proposed activities.

Monitoring and evaluation plan – 15 points: Applicant demonstrates it is able to measure program success against key indicators and provides milestones to indicate progress toward goals outlined in the proposal. The program includes output and outcome indicators, and shows how and when those will be measured.

Sustainability – 10 points: Program activities will continue to have positive impact after the end of the program.

2. Review and Selection Process

A Grants Review Committee will evaluate all eligible applications.

3. Federal Awardee Performance & Integrity Information System (FAPIIS)

For any Federal award under a notice of funding opportunity, if the Federal awarding agency anticipates that the total Federal share will be greater than the simplified acquisition threshold on any Federal award under a notice of funding opportunity may include, over the period of performance (see §200.88 Simplified Acquisition Threshold), this section must also inform applicants:

- i. That the Federal awarding agency, prior to making a Federal award with a total amount of Federal share greater than the simplified acquisition threshold, is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (currently FAPIIS) (see 41 U.S.C. 2313);
- ii. That an applicant, at its option, may review information in the designated integrity and performance systems accessible through SAM and comment on any information about itself that a Federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through SAM;
- iii. That the Federal awarding agency will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in §200.205 Federal awarding agency review of risk posed by applicants.

F. FEDERAL AWARD ADMINISTRATION INFORMATION

1. Federal Award Notices

The grant award or cooperative agreement will be written, signed, awarded, and administered by the Grants Officer. The assistance award agreement is the authorizing document and it will be provided to the recipient for review and signature by email. The recipient may only start incurring program expenses beginning on the start date shown on the grant award document signed by the Grants Officer.

If a proposal is selected for funding, the Department of State has no obligation to provide any additional future funding. Renewal of an award to increase funding or extend the period of performance is at the discretion of the Department of State.

Issuance of this NOFO does not constitute an award commitment on the part of the U.S. government, nor does it commit the U.S. government to pay for costs incurred in the preparation and submission of proposals. Further, the U.S. government reserves the right to reject any or all proposals received.

Payment Method: SF 270 Form for disbursement of funds by bank transfer.

2. Administrative and National Policy Requirements

Terms and Conditions: Before submitting an application, applicants should review all the terms and conditions and required certifications which will apply to this award, to ensure that they will be able to comply. These include:

2 CFR 200, 2 CFR 600, and the Department of State Standard Terms and Conditions which are available at: <https://www.state.gov/about-us-office-of-the-procurement-executive/>.

Note the U.S Flag branding and marking requirements in the Standard Terms and Conditions.

3. Reporting

Reporting Requirements: Recipients will be required to submit financial reports and program reports. The award document will specify how often these reports must be submitted.

Recipients will, at a minimum, be required to submit two Progress Reports and one Financial Report over the course of the project. Progress Reports will compare actual to planned performance and indicate the progress made in accomplishing each assistance award task/goal noted in the grant agreement and will contain analysis and summary of findings, both quantitative and qualitative, for key indicators. The Financial Report provides a means of monitoring expenditures and comparing costs incurred with progress.

Recipients must report immediately when a program faces unplanned delays in implementation, fails to meet program targets or milestones, or costs increase. Any changes or revisions to the approved budget require prior approval from the Embassy Grants Officer.

G. FEDERAL AWARDING AGENCY CONTACTS

If you have any questions about the grant application process, please contact: BheenickS@state.gov or BergesenCR@state.gov.

H. OTHER INFORMATION

Guidelines for Budget Justification

Personnel and Fringe Benefits: Describe the wages, salaries, and benefits of temporary or permanent staff who will be working directly for the applicant on the program, and the percentage of their time that will be spent on the program.

Travel: Estimate the costs of travel and per diem for this program, for program staff, consultants or speakers, and participants/beneficiaries. If the program involves international travel, include a brief statement of justification for that travel.

Equipment: Describe any machinery, furniture, or other personal property that is required for the program, which has a useful life of more than one year (or a life longer than the duration of the program), and costs at least \$5,000 per unit.

Supplies: List and describe all the items and materials, including any computer devices, that are needed for the program. If an item costs more than \$5,000 per unit, then put it in the budget under Equipment.

Contractual: Describe goods and services that the applicant plans to acquire through a contract with a vendor. Also describe any sub-awards to non-profit partners that will help carry out the program activities.

Other Direct Costs: Describe other costs directly associated with the program, which do not fit in the other categories. For example, shipping costs for materials and equipment or applicable taxes. All “Other” or “Miscellaneous” expenses must be itemized and explained.

Indirect Costs: These are costs that cannot be linked directly to the program activities, such as overhead costs needed to help keep the organization operating. If your organization has a Negotiated Indirect Cost Rate (NICRA) and includes NICRA charges in the budget, attach a copy of your latest NICRA. Organizations that have never had a NICRA may request indirect costs of 10% of the modified total direct costs as defined in 2 CFR 200.68.

“Cost Sharing” refers to contributions from the organization or other entities other than the U.S. Embassy. It also includes in-kind contributions such as volunteers’ time and donated venues.

Alcoholic Beverages: Please note that award funds cannot be used for alcoholic beverages.